

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 1 of 2

In the Application of GERDTS et al.

Serial No.: 09/927,408

Art Unit: 1642

RECEIVED

Filed: August 10, 2001

Examiner: Unassigned

OCT 0 2 2002

Title: IN UTERO ORAL NUCLEIC ACID IMMUNIZATION

TECH CENTER 1600/2900

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
	AA-1						

FOREIGN PATENT DOCUMENTS

1	Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Transi YES	lation NO
		AB-1							

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init,		Ref. Desig.	Description
de		AC-1	Butts, et al.,"DNA immunization of infants: potential and limitations," <i>Vaccine</i> ,16:1444-1449,(1998)
		AD-1	Gerdts, et al.,"Fetal Immunization by a DNA Vaccine Delivered Into the Oral Cavity," <i>Nature Med.</i> , 6:929-932,(2000)
		AE-1	Gerdts, et al., "Oral DNA Vaccination In Utero Induces Mucosal Immunity and Immune Memory in the Neonate," <i>J. Immunol.</i> ,168:1877-1885,(2002)
de	-	AF-1	Le Potier, et al., "Study of the delivery of the gD gene of pseudorabies virus to one-day-old piglets by adenovirus or plasmid DNA as ways to by-pass the inhibition of immune response by colostral antibodies," <i>Vet. Microbiol</i> <u>55</u> :75-80(1997)

Examiner: Debut and Cronch Date Considered:

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Atty Dkt No. 9000-0058

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 2

In the Application of GERDTS et al.

Serial No.: 09/927,408

Art Unit: 1642

Filed: August 10, 2001

Examiner: Unassigned

Title: IN UTERO ORAL NUCLEIC ACID IMMUNIZATION

Exam. Init.	Ref. Desig.	Description
de	AG-1	McCluskie and Davis,"Novel Strategies Using DNA for the Induction of Mucosal Immunity," Crit. Rev. Immunol., 19:303-329, (1999)
	AH-1	Sekhon and Larson, "In utero gene transfer into the pulmonary epithelium," <i>Nature Med.</i> ,1:(11),1201-1203 (1995)
	AI-1	Van Drunen Littel-van den Hurk, et al., "Immunization of Neonates with DNA Encoding a Bovine Herpesvirus Glycoprotein is Effective in the Presence of Maternal Antibodies," Viral Immunology, 12:67-77,(1999)
de	AJ-1	Watts, et al. "Fetal immunization of baboons induces a fetal-specific antibody response," Nature Med., 5:427-430 (1999)

RECEIVED

OCT 0 2 2002

TECH CENTER 1600/2900

		1 ,
Examiner:	Debotal Cinel	Date Considered: 8/5/04
EXAMINER:	Initial if citation considered whether or not the	citation conforms with MPEP609. Draw a line through th
citation if not	in conformance and not considered. Include o	ony of this form with next communication to applicant